



263

October 23, 2002

VIA FIRST CLASS MAIL

Honorable Commissioner for Patents
Washington, D.C. 20231



22887

PATENT TRADEMARK OFFICE

Re: Information Disclosure Statement
Confirmation No.: 5214
USSN: 08/485,070
Filed: June 7, 1995
Inventor: Kurt W. Getreuer
Title: METHOD AND APPARATUS FOR MOVING CARRIAGE
ASSEMBLY FROM INITIAL POSITION TO TARGET POSITION AND
OPTICAL DISC SYSTEM INCLUDING SAME
Atty. Docket No.: 951028(US)USC1X1X1D1 MMMI

Dear Sir:

Enclosed for filing please find the following:

1. Transmittal Form;
2. Fee Transmittal for FY 2003;
3. Communication - Information Disclosure Statement;
4. Form PTO - 1449;
5. Cover Letter;
6. Return Receipt Postcard, Control no. 3SQ2Y0; and
7. Certificate of First Class Mailing, dated October 23, 2002.

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OCT 29 2002
Technology Center 2000

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

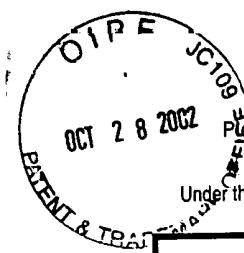
Very truly yours,

DISCOVISION ASSOCIATES


Caroline T. Do, Reg. No. 47,529
Patent Prosecution Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

CD:SM

Enclosures



Please type a plus sign (+) inside this box +

PTO/S13/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

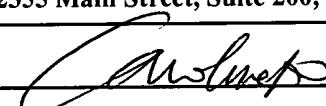
(to be used for all correspondence after initial filing)

		Application Number	08/485,070	RECEIVED
		Filing Date	June 7, 1995	OCT 28 2002
		First Named Inventor	Kurt W. Getreuer	Technology Center 2600
		Group Art Unit	2653	
		Examiner Name	Dinh, Tan X.	
Total Number of Pages in This Submission		Attorney Docket Number		
		951028(US)USC1X1X1D1 MMMI		

ENCLOSURES (check all that apply)

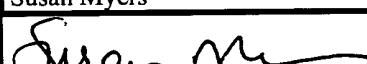
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CID, Number of CD(s) _____ <input type="checkbox"/> Remarks <input type="checkbox"/> Cover Letter <input type="checkbox"/> Postcard <input type="checkbox"/> Form P.T.O. 1449 <input type="checkbox"/> Copies of five (5) references	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): See Remarks
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	DISCOVISION ASSOCIATES -- Intellectual Property Development 2355 Main Street, Suite 200, Irvine, CA 92614 -- U.S.A. -- Tel: (949) 660-5000
Signature	
Date	October 23, 2002

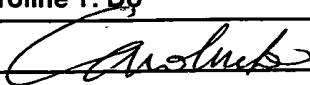
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Susan Myers		
Signature		Date	October 23, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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 FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		<i>Complete if Known</i> RECEIVED Application Number 08/485,070 Filing Date June 7, 1995 OCT 24 2002 First Named Inventor Kurt W. Getreuer Examiner Name Dinh, Tan X. Technology Center 2600 Group Art Unit 2653 Attorney Docket No. 951028(US)USC1X1X1D1 MMMI																																																																																																																																																																																							
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ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity</th> <th style="text-align: left;">Small Entity</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Fee Code</td><td>Fee \$</td><td>Fee Code</td><td>Fee \$</td></tr> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>400</td><td>2252</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>920</td><td>2253</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>2254</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,960</td><td>2255</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,280</td><td>2453</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,280</td><td>2501</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>460</td><td>2502</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>620</td><td>2503</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt.</td><td>180.00</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>740</td><td>2809</td><td>370</td><td>Filing a submission after final rejection (37 C.F.R. § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>740</td><td>2810</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>740</td><td>2801</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td colspan="2" style="text-align: right;">SUBTOTAL (3) (\$ 180.00)</td></tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee \$	Fee Code	Fee \$	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	400	2252	200	Extension for reply within second month		1253	920	2253	460	Extension for reply within third month		1254	1,440	2254	720	Extension for reply within fourth month		1255	1,960	2255	980	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,280	2453	640	Petition to revive - unintentional		1501	1,280	2501	640	Utility issue fee (or reissue)		1502	460	2502	230	Design issue fee		1503	620	2503	310	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt.	180.00	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	740	2809	370	Filing a submission after final rejection (37 C.F.R. § 1.129(a))		1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))		1801	740	2801	370	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (3) (\$ 180.00)	
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Name Printed/Typed Caroline T. Do		Registration No. Attorney / Agent 47,529		Telephone 946.660.5000																																																																																																																																																																																					
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PATENT

#47
MD5
10303

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Kurt W. Getreuer

§ Art Unit: 2753

Filed: June 7, 1995

§ Examiner: Dinh, Tan

Serial No.: 08/485,070

§ Attorney Docket Number:
951028(US)USC1X1X1D1 MMMI

For: METHOD AND APPARATUS FOR
MOVING CARRIAGE ASSEMBLY
FROM INITIAL POSITION TO
TARGET POSITION AND OPTICAL
DISC SYSTEM INCLUDING SAME

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Technology Center 2000

Commissioner for Patents
Washington, D.C. 20231

Sir:

In complying with the duty of disclosure set forth in 37 CFR 1.56, Applicant submits copies of patents as listed on the attached Form PTO 1449.

Applicant kindly requests that the Examiner review the references submitted herewith and enter as a matter of record herein. Applicant further requests that a signed and initialed copy of the Form PTO 1449 be returned to Applicant's mailing address.

The Commissioner is hereby authorized to charge payment of any fees associated with this Communication or credit any overpayment to Deposit Account No. 04-1175.

Respectfully submitted,

DISCOVISION ASSOCIATES


Caroline T. Do
Reg. No. 47,529

Date: October 23, 2002

DISCOVISION ASSOCIATES
INTELLECTUAL PROPERTY DEVELOPMENT
P.O. Box 19616
Irvine, California 92623
(949) 660-5000

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8	
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Susan Myers	
